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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ANDREAS BOCKHOLT ET AL.

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 10/595,646

Filed: May 2, 2006

For: ALPHA-ALKOXY-SILANES AND USE THEREOF IN  
ALKOXY-SILANE TERMINATED PREPOLYMERS

Attorney Docket No.: WAS 0777 PUSA

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

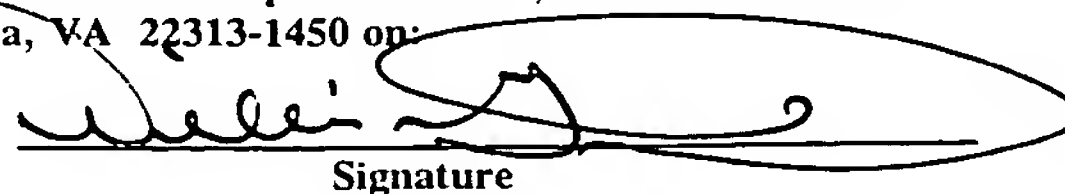
While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

May 9, 2006  
Date of Deposit

William G. Conger  
Name of Person Signing

  
Signature

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

**ANDREAS BOCKHOLT ET AL.**

By: 

William G. Conger

Reg. No. 31,209

Attorney for Applicant

Date: May 9, 2006

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
ANDREAS BOCKHOLT ET AL. :  
Serial Number : Group Art Unit:  
Filed: :  
For: ALPHA-ALKOXY-SILANES AND USE THEREOF IN ALKOXY-SILANE  
TERMINATED PREPOLYMERS :

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the above-identified application, residing at Kreuzstraße 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and the corresponding International Search Report may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US 6,207,766 is already in English language.

US 3,971,751 is already in English language.

US 5,254,657 is already in English language.

US 5,068,304 is already in English language.

For EP 1256595 an English Patbase Abstract is enclosed.

For EP 1245601 an English Patbase Abstract is enclosed.

For EP 0885933 an English Patbase Abstract is enclosed.

For DE 10142050 an English translation of the corresponding WO 03/018658 is enclosed.

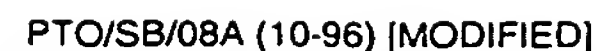
For DE 10139132 an English Derwent Abstract is enclosed.

For EP 0596360 the corresponding US 5364955 is enclosed which is already in English language.

For WO 02/066532 the corresponding US 2004072921 is enclosed which is already in English language.

Signed this 28<sup>th</sup> day of April, 2006.

  
.....  
Dr. Helmut Fritz



Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

[illegible][illegible]

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<div>Substitute for Form 1449B/PTO</div> <div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>				<b>Complete if Known</b>	
				Application Number	10/595,646
				Filing Date	May 2, 2006
				First Named Inventor	Andreas Bockholt et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	WAS 0777 PUSA
<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		Patent Abstract Corresponding to EP 0 885 933 A1.			
		Patent Abstract Corresponding to EP 1 245 601 A1.			
		Patent Abstract Corresponding to EP 1 256 595 A1.			
		Patent Abstract Corresponding to DE 101 39 132 A1.			

Examiner Signature		Date Considered	
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